

THE DENTAL  
SOLUTIONS  
COMPANY™



## 2018 Report

# Corporate Social Responsibility Platform

[dentsplysirona.com](http://dentsplysirona.com)





# Dear Reader

As a global leader in delivering innovative dental product solutions, every day we empower dental professionals all over the world to provide millions of patients with better dental care and make people smile. Given our broad portfolio of products and the depth of talent within the organization, we aspire to push ourselves to a higher level, focusing our R&D and educational efforts to become a truly transformative company within the dental industry.

Our goal is to improve oral healthcare around the world. With our global footprint, we aim to have a positive effect both on the communities in which we live and work as well on the larger global community.

For us, corporate social responsibility refers to the responsibility for our employees, communities, society, and the environment. Our corporate social responsibility platform therefore includes a core focus through corporate philanthropy on initiatives that aim to improve oral healthcare. The platform also comprises support for the development, safety, health and wellbeing of our employees and our communities both locally and globally. Dentsply Sirona is committed to the principles of good governance, a code of ethics for the way in which the company and its employees operate, transparency within the marketplace, as well as for reducing our environmental footprint.

We follow five key principles in the way we operate to create more meaningful product solutions for dentists built around substantial innovation and differentiated clinical education. These include approaching our customers holistically to best meet their needs as individuals, taking greater responsibility for our own demand creation, ensuring that our innovations are substantial and impactful, utilizing our scale across the industry, and leading in clinical education.

We work every day to realize our vision and continuously focus on taking steps to improve. For example, we are streamlining our processes for developing new innovations, investing in impressive new education centers, and enhancing our digital portfolio of training programs.

As an executive team, our desire to support our corporate social responsibility platform remains robust, as we believe that continuing to strengthen our corporate social responsibility initiatives will help forge long-lasting and trustworthy relationships with our customers, employees, business partners, and shareholders.

There are many ways in which Dentsply Sirona and its employees are working to make a difference and give back. This report shares an overview of the areas in which we are focused and provides examples of how we are achieving our corporate social responsibility goals.

Respectfully yours,

Don Casey  
Chief Executive Officer

# Dentsply Sirona at a glance

## Committed to innovation and education

- As the leader in the dental industry, it is our responsibility to deliver meaningful innovation and maintain our customer focus every day.
- Training of over 430,000 dental professionals annually
- More than 12,000 courses conducted in 99 countries

## Global

- Sales in over 120 countries
- Infrastructure in more than 40 countries
- More than 15,000 employees globally
- Revenue \$ 4 billion in Sales
- Member of S&P 500®

## Our Purpose & Mission

- Every day, we empower dental professionals all over the world to provide millions of patients with better dental care and make people smile.
- As the leader in the dental industry, it is our responsibility to deliver meaningful innovation and maintain our customer focus every day.
- We are accountable to our customers and each other to keep our promises and to be a trusted partner.
- When we do this, we win as a team, improve the lives of patients, dental professionals and our employees, and deliver the results to our shareholders.

## Global footprint of Dentsply Sirona

Percent of 2018 sales by region\*

**US:** 32%

**Europe:** 41%

**Rest of World:** 27%



\*Non-US GAAP net sales, excluding precious metal content

# Corporate Social Responsibility Platform

As an international company Dentsply Sirona has a responsibility to be a good corporate citizen and key contributor to the future of dental care as well as a partner in improving the overall wellbeing of the global community.

Part of Dentsply Sirona's vision is to improve the state of oral health globally. Therefore, the Company focuses on innovation and education for the purpose of achieving better clinical outcomes. Activities, such as those undertaken by Dentsply Sirona Research, engage dental professionals to better understand their needs. Collaborations with customers help to test innovations and future solutions ensuring that they meet the goal of helping to improve dental care and have a positive effect on oral health. Improving oral health can have a profound impact on economic prosperity, individual

confidence, and ultimately a person's opportunity and overall health.

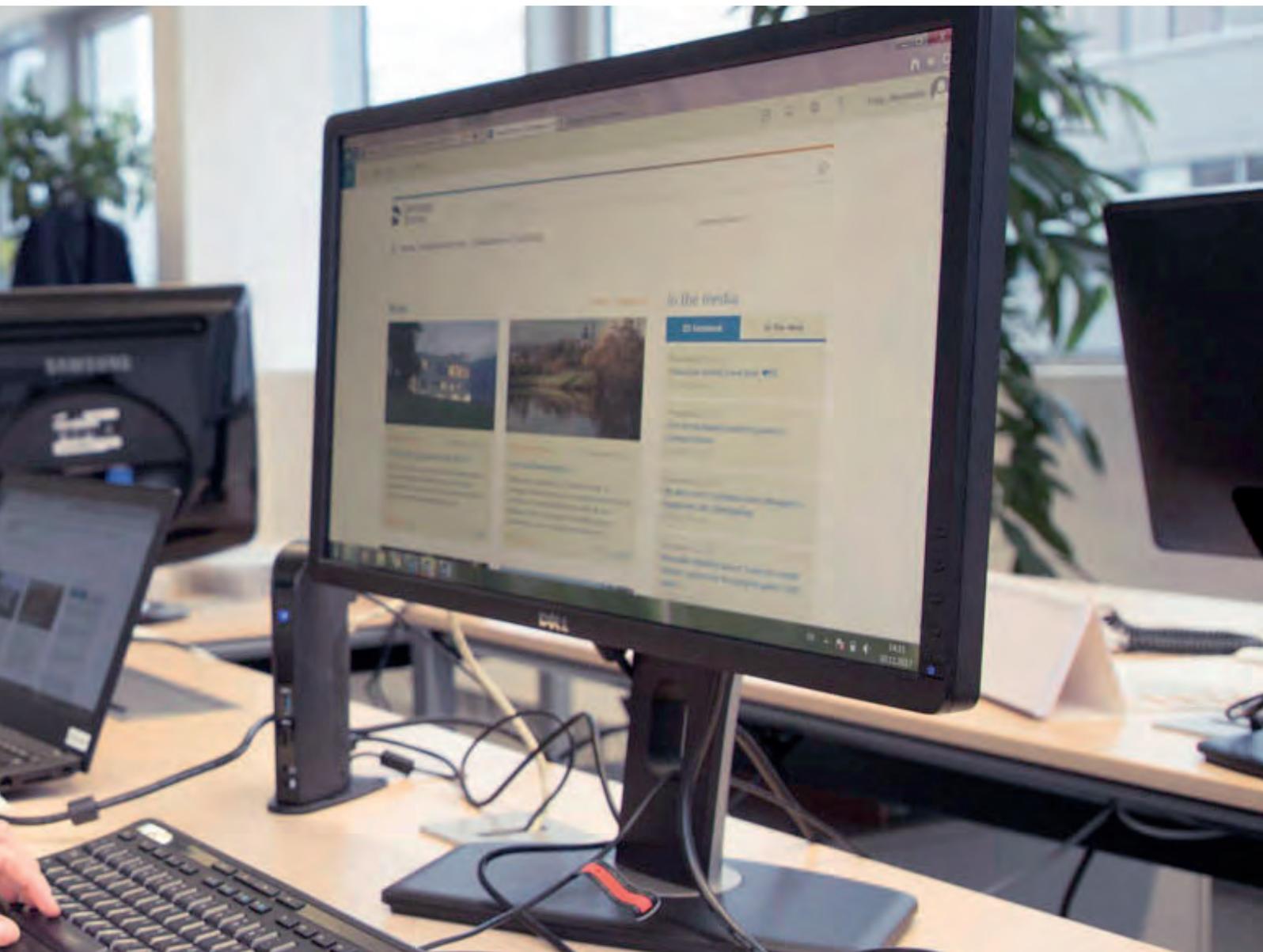
Dentsply Sirona therefore works with many organizations and initiatives on local, national, and international levels helping to provide and improve oral health care by donating expertise and resources. In addition to supporting oral health initiatives, Dentsply Sirona's Corporate Social Responsibility Platform includes support for research and education. Whether through its longstanding partnership in the Student Competition for Advancing Dental Research or through the Dentsply Sirona



Academy which last year offered nearly 12,000 clinical education courses around the world, the Company is committed to the future of research and education in the dental industry. Dentsply Sirona supports charitable initiatives such as the American Dental Association Foundation's Give Kids a Smile Program, which gives children in need access to oral health care. The Company regularly supports dental missions to communities lacking access to basic dental care such as a recent Doctors Without Borders trip to the Amazon Rainforest. In addition to donating expertise and equipment to good causes that align with the goals of Dentsply Sirona,

there is a commitment to the development, health and wellbeing of the employees and the communities in which they live and work. The Company continues to work toward reducing its environmental footprint at all manufacturing sites and offices.

When it comes to oral care, at some point everyone is a patient. This is a reminder that as a company and an industry leader, Dentsply Sirona has a responsibility to set the standard for delivering the best quality products and services that ultimately benefit patients. The management team and Board of Directors firmly support and endorse this platform.



# 1 Oral Health Improvement Initiatives

Dentsply Sirona is committed to empowering dental professionals to provide the best possible care to their patients. Working to improve the state of oral health around the world is part of the fabric of the organization. As a market leader, it is therefore a responsibility to donate time and resources to initiatives that further this aim. These efforts focus on people and programs engaged in the areas of disease prevention and treatment, improving access to oral health care, education and student research.

## Disease Prevention and Treatment

It is estimated that roughly a fifth of the Mongolian population has Hepatitis. Dental procedures are a major pathway for the spread of the disease and this is often due to a lack of proper hygiene procedures. In September 2018, Dentsply Sirona joined the German-Mongolian Symposium on Hospital Hygiene in conjunction with the German and Mongolian health ministries and representatives from the World Health Organization in order to discuss sterilization and hygiene techniques to reduce the spread of Hepatitis. Representing Dentsply Sirona, Dr. Nehr-Werner spoke about instrument reprocessing in the dental practice.

Support for organizations such as The Florida Dental Association Foundation's Mission of Mercy (MOM) helps to provide free dental care to those in need without the means to afford it. Dentsply Sirona also supports The European Academy for Pediatric Dentistry's network of associations, which enable thousands of children to receive health education and dental care.



Dr. Teresa A. Dolan, Vice President and Chief Clinical Officer with Dentsply Sirona and her team develop and manage clinical education courses for dental professionals and their teams in communities all over the world.

## Access to Oral Healthcare

Millions of people throughout the United States with disabilities or who are elderly or medically fragile cannot pay for vital dental care. In addition to offering innovative technology for patients with special needs, the Company made two donations to Dental Lifeline Network (DLN) for its Donated Dental Services (DDS) program, which focuses its efforts on this group of patients. The first was part of Every Smile Counts Day on which participating dental manufacturers and distributors provide a contribution. The second contribution was for DLN's annual fundraising campaign known as the "Lifeline Heroes Challenge." Every US dollar raised generates nearly nine dollars in care. Additionally, Dentsply Sirona donates equipment for DLN's HouseCalls van program which brings care to people who cannot easily travel to dentist's offices. The company also gives teeth to labs that are donating dentures for DDS cases, of which there are hundreds each year.

To help raise awareness for the special dental care requirements of people with disabilities and those in need of long-term care in Germany, Dentsply Sirona participated in the "Day of Dental Health" on September 25th.

## Supporting Clinical Education

Through the Dentsply Sirona Academy, the Clinical Affairs team develops and manages clinical education courses for dental professionals and their teams in communities all over the world. Last year Clinical Affairs expanded its reach, providing training and education to over 430,000 dental professionals in 99 countries through its approximately 12,000 courses that include a cross-section of all dental disciplines, procedures and practice types. In 2018, Dentsply Sirona opened new Education Centers in Charlotte, NC and in Shanghai while another reopened in Bensheim, Germany after a major expansion. Future developments in educational offerings will include enhancements to the digital portfolio to create a more seamless online experience when searching for courses and clinical case studies.

In the complex and competitive world of healthcare, key opinion leaders play a decisive role in the development



Since its inception in 1959, Dentsply Sirona has been a partner in the Student Competition for the Advancement of Dental Research and its Application – known as SCADA.

of products and their further development. Since 2006, the Company has also invested in the skills of the dental industry's key opinion leader of tomorrow through its p3 International Program, a program to build the next generation of key opinion leader from private clinics as well as universities. p3 stands for "Profession, Passion, Power" – a one-year intense training program in which participants are given coaching to improve their skills in presenting, dental photography, abstract writing and public speaking. The coaching focuses on improving their ability to frame a topic and capture audience's attention. Candidates are selected for their personality, professional knowledge, passion for taking on a more public role at speaking events and clinical education trainings, strongly on own initiative as well as for their long-term partnership with Dentsply Sirona. Since 2010 more than 20% of the graduates are involved in international podium appearances and 80% are strongly active on regional podia.

### Supporting student research

Since its inception in 1959, Dentsply Sirona has been a partner in the Student Competition for the Advancement of Dental Research and its Application – known as SCADA. Started as a joint venture between the American Dental Association and Dentsply Sirona (then known as DENTSPLY International) in 1959, SCADA has always had three aims:

1. To engage students to discover the importance and power of dental research

2. To provide opportunities for students to advance their research skills and careers
3. To inspire students to look for new ways to have a positive impact on dental care and oral health.

Each year, pre-doctoral students from around the world compete at local, national, and finally global levels. SCADA gives students the opportunity to be excited about dental research and provides a platform that exposes them to other students and research from around the world. Locally appointed advisors help the students to get the most out of their SCADA experience by providing guidance and support.

Nearly 60 years after its founding, more than 7,500 students have participated in this program from 39 countries. Last year, the American Association for Dental Research joined Dentsply Sirona to co-sponsor the SCADA program.

In addition to SCADA, Dentsply Sirona runs an annual international competition in aesthetic dentistry called the Global Clinical Case Contest (GCCC). Undergraduate and graduate students of dentistry with less than two years of clinical practice are eligible to take part by documenting a patient case with photographs and text. National or regional winners respectively winners are then invited to present their cases to an esteemed international panel of judges.

Dentsply Sirona devotes significant resources to creating opportunities for students to compete in dental research believing that such research is essential for developing the next generation of solutions to deliver quality dental care and ultimately to improve oral health worldwide.

## 2 Governance

### Our promise to customers, partners and employees

As Dentsply Sirona, the world largest manufacturer with the leading position in the market place, includes leading brands across consumables, equipment, technology, and specialty areas. With the broadest clinical

education platform and an unparalleled commitment to R&D in dentistry, our mission and vision guide us to continuously aim higher, support our customers and advance patient care around the world.

#### Our Purpose & Mission

Every day, **we empower dental professionals all over the world** to provide millions of patients with better dental care and **make people smile.**



#### Our Vision.

Delivering innovative **dental product solutions to improve** oral health worldwide.



#### Operating Principles

Together we will build a world-class organization for a bright future.



**01 We recognize** there is one customer.



**02 We will be responsible** for creating demand for our products.



**03 We will deliver** innovation that is substantial and supported.



**04 We will lead in** clinical education.



**05 We will take** advantage of scale.

### Code of Business Conduct and Ethics Guiding Principles

Dentsply Sirona has a long history and reputation of ethical business practices throughout the global community. Through frequent and clear communications and interactive training, Dentsply Sirona employees and business partners are informed that illegal or unethical conduct will not be tolerated. The Company's Code of Business Conduct and Ethics (the Code) sets forth our guiding values and principles for the conduct of our business that must be followed by everyone who does business on behalf of Dentsply Sirona and establishes the expectations for how we will compete globally, with uncompromising integrity in terms of our interaction with customers, suppliers, Key Opinion Leaders, and

government officials to assure compliance with all laws and regulations. It provides guidance on identifying and mitigating risk in a variety of areas and what to do if a problem is identified. Lastly, the Code underscores our commitment to our employees and the communities in which we live and work around the world.

Company supervisors and managers are responsible for ensuring compliance with the Code by their teams. All employees are responsible for acquiring sufficient knowledge to recognize potential compliance issues applicable to their duties and for appropriately seeking advice from subject matter experts regarding such issues.



The Code has been distributed to all employees and sets forth the general standards relevant to the Company's business and operations. In addition, there are numerous policies and procedures which provide more detailed guidance on a variety of topics. The Company communicates these specific policies to the employees who are particularly affected by them and seeks full compliance in the conduct of the Company's business.

Dentsply Sirona's Marketing to Professional Code of Conduct requires all interactions with medical and dental professionals are compliant with applicable laws and regulations, as well as our core values. However, Dentsply Sirona goes beyond strict legal standards and follows the highest ethical standards when interacting with health care professionals and institutions. Health care professionals are also Dentsply Sirona customers and their decisions about which product to buy should be based on the quality and efficacy of the products and the best interests of their patients. The company's Marketing to Professionals Code of Conduct sets standards that ensure employees, or any other party working on behalf of the company, do not unduly influence or even give the perception of impropriety in their interactions with the health care professionals and organizations. Employees who interact with health care professionals and institutions are required to regularly train on the Marketing to Professionals Code of Conduct and agree to comply with this policy. There is also a network of Marketing Compliance Liaisons in place at each Dentsply Sirona business across the globe that are actively engaged in monitoring to ensure the concepts of the Marketing to Professionals Code of Conduct are understood, applied and enforced. Additionally, Dentsply Sirona is committed to the reporting requirements of the Physicians Payment Sunshine Act (Open Payments) and other transparency laws worldwide.

In addition to The Code, we have seven additional topics on which we administer training :

- Anti-Corruption & Anti-Bribery
- Export Compliance
- Confidential Information & Insider Trading
- Anti-Trust & Competition Law
- Privacy & Data Protection
- Marketing to Professionals Code of Conduct
- Third Party Compliance

Dentsply Sirona supports global efforts to address the issues of exploitation, slavery, and human trafficking through our internal policies as well as through direct actions with our business partners in our supply chain. We expect all of our employees and business partners to fully comply with the California Transparency in Supply Chain Act of 2010 and the UK Modern Slavery Act of 2015, and the Conflict Minerals provisions of the US Dodd-Frank Act of 2010.

### Ethics and Compliance Committee

Dentsply Sirona's Chief Compliance Officer leads our global ethics and compliance efforts. Together with the Chief Compliance Officer, a cross-functional Ethics and Compliance Committee promotes a work environment and policies that uphold the highest ethical standards for all Dentsply Sirona locations and business partners. Under this committee's charter, our ethics and compliance initiatives illustrate Dentsply Sirona's strong commitment to our Core Values which set behavioral expectations for our employees, business partners and industry consultants. Ultimately, this proactive approach to managing our ethics and compliance program helps ensure Dentsply Sirona's sustainable future.

## 3 Workplace

In 2018, Dentsply Sirona launched a Global Engagement Survey of its employees with the motto “Your voice matters.” The survey questions focused on teamwork, the workplace, communication and leadership, and gave all employees the opportunity to confidentially share their thoughts and opinions to help shape the future of their company and workplace. Based on this information, action plans were developed both locally and company-wide on a global level.

### Training and Career Development

Career development is important both for the growth of the organization and for talent retention. Therefore, Dentsply Sirona invests in training and development programs to bring out the best in its people and to ensure that it will continue to attract top talent across the globe.

The Dentsply Sirona University is at the core of the company’s training and development initiatives. The colleges within the University aim to develop skills in the areas of leadership excellence, commercial excellence, and operations excellence through a blend of classroom

and online training for employees. Launched in 2017 as part of the Dentsply Sirona University, the College of Leadership Excellence provides programs targeted at employees with the potential to move into bigger and broader leadership roles across the organization. For 2018, the Company continued to expand its program offerings with the College of Leadership Excellence and also began work with the College of Commercial Excellence with the goal to introduce expanded programs to support sales force effectiveness in 2019.

Several years ago, Dentsply Sirona’s Senior Vice President and Chief Human Resources Officer and Communications, Maureen MacInnis, launched a pilot program



Laurie Reader, Vice President Global Talent Management, developed together with her team the guiding principles for Dentsply Sirona’s talent programs enabling participants to grow themselves and move the business forward.



The Moveeffect Platform in Austria enables employees to arrange joint sporting activities with colleagues in Salzburg and Vienna.

with 10 women from the Global Headquarters in York, PA, USA to promote gender diversity among the workforce. Now known as the Women Inspired Network (WIN), the 12-month program has become a hallmark of the company's commitment to supporting the careers of talented female leaders. The 2018 group included 27 women. Employees who participated speak very highly about the program. According to WIN participant Lindi Barton-Brobst, "WIN gave me the tools (and frankly, courage) to better identify and communicate my personal and professional goals to myself, my family, and my team members." Looking toward the future, the Company is piloting a WIN Fellowship in the York location to provide additional opportunities for women to connect, grow, develop and support one another. If the pilot is successful it will be expanded to additional offices around the globe.

In 2018, Maureen received Linkage's Women in Leadership Executive Impact Award in recognition of her dedication to supporting the development of women into leadership positions at Dentsply Sirona. Each year, the company also opens its workplaces to the future workforce in Germany on Girls' Day, the world's largest vocational orientation project. On April 26, 2018, at its Bensheim and Hanau locations, the company offered lectures, workshops and specific information on technical apprenticeships and dual study programs to a group

of approximately 55 girls between the ages of 10 and 15 who represent our future.

Beyond internal training initiatives, in many locations, Dentsply Sirona provides tuition assistance enabling participating employees to complete their university education, while others have pursued advanced degree programs. The Company has a talent development strategy that takes into account human capital risk assessment considering the specific skill requirements at each level of the organization.

Ensuring that all employees have opportunities for development and have programs available is imperative for the growth of any organization.

## Equal employment opportunity and inclusion

Dentsply Sirona firmly believes that a diverse workforce that draws on the talents and skills of people from all backgrounds and cultures helps to contribute to an inclusive society and is good for the future sustainability of the business. As a business an active strategy of cultural diversity and inclusion informs our efforts at talent recruitment, development, and management. The company remains committed to the principle of equal employment opportunity out of moral and legal obliga-

# Women in Leadership™

A LINKAGE INSTITUTE



The Women Inspired Network (WIN) 2018 Group.

tion. One example of an ongoing initiative focused on promoting inclusion is the Work Experience Program in Japan. The program enables people with disabilities to meet other qualified individuals with disabilities to gain valuable experience in the workplace. Dentsply Sirona recognizes the societal and employment challenges that exist for people with disabilities. As an international business with offices in locations around the world, local offices typically reflect the culture of the country in which they operate. With roughly 15,000 employees at locations all around the world, diversity is celebrated as part of the company culture. Just within the Bensheim location, there are currently around 2,000 employees representing almost 40 different nations. Employees moving from other locations to Bensheim are assigned an experienced colleague as a mentor to help them become familiar with the new setting. The company supplies vouchers for new employees to take a city tour with small groups of other new employees to encourage networking and connections.

The commitment to diversity and inclusion includes recruitment of diverse candidates throughout the business, including the Board of Directors and management, as well as Corporate and operating functions, such as sales, marketing, finance, and information technology,

in locations around the globe. At the beginning of 2018, Dentsply Sirona welcomed two new women to its Board of Directors - Betsy D. Holden and Leslie F. Varon. Employee training and information, available in multiple languages, maintains a focus on global diversity and appreciation of regional cultures. When filling an open position every effort continues to be made to include a group of qualified, diverse candidates. Job-specific qualifications, skills, and experience are the basis for recruitment, training, and advancement of employees at all levels. Dentsply Sirona's policy is to fill positions with the most qualified candidates regardless of race, color, sex, age, national origin, disability, religion, sexual orientation, or veteran status, except where there is a bona fide occupational qualification. This policy applies to all decisions about recruitment, hiring, compensation, benefits, transfers, promotions, training, social programs, layoffs, and any other conditions of employment. In line with this policy, Dentsply Sirona offers same and opposite gender domestic partner benefits to employees. Reasonable accommodations are provided to qualified individuals with disabilities. Dentsply Sirona is committed to providing a workplace that is free of discrimination, in all aspects of employment. This practice is aligned with the core values of the company as well as being a sound business practice.



"What'r you drinking" an initiative to educate about hydration and to reduce sugary beverage intake.

## Health and safety

Dentsply Sirona has a Global Wellness Program with a different focus area for each quarter. In 2018, the focus areas were oral health, hydration, physical health and wellness, and in addition awareness. The company-wide program is designed for flexibility enabling local offices to adapt it to best meet the need of their local staff. An example of this came during the second quarter's focus on hydration. Employees at the York, PA location in the U.S. took part in a challenge called "Wat'r You Drinking" ("What are you drinking") as a wellness initiative to educate about hydration and to reduce sugary beverage intake. Participants pledged to consume at least 64 ounces of water each day while limiting the consumption of soft drinks and other sugary beverages. There was also a charitable element to the 30-day challenge. Water bottles were sold to employees at a reduced price and the proceeds were donated to the non-profit organization "charity: water," which helps to bring clean water to communities in 26 countries.

In 2018, World Oral Health Day coincided with the Dentsply Sirona Wellness Program's first quarter focus on oral health and used the opportunity to promote awareness of the connection between oral health and general health and wellbeing.

## Physical health through sport

Dentsply Sirona sponsored two employees who were raising money and awareness for good causes through sports. Raymond Hovens, a Benelux marketing product specialist for Wellspect in the Benelux region, collected money for kids with bowel problems. Together with his cycling team and countless other sports fanatics, they brought together 300 spinning bikes in the sport center next to the Feyenoord stadium in Rotterdam for three hours of spinning on March 11, 2018 and raised a total of 486,766 euros. The money will be spent on research to find a cure for kids with severe bowel problems. Sabine van Laarhoven, a Customer Services Representative in the Benelux region, participated in the Run for Lyme to honor her friend Susan, who suffers from Lyme disease and underwent stem cell treatment in November. Dentsply Sirona also sponsored Sabine's efforts.

Another example of the Company's support for physical health is through the Moveeffect Platform in Austria. Accessible via app, PC or tablet, the platform works like an internal company social network about sports. Employees use the platform to arrange joint sporting activities from walking, jogging or gardening to tennis or football. The activities are documented on the Moveeffect Platform where colleagues can comment and post.

Employees receive safety training and annual Environmental, Health and Safety audits are conducted in each of the manufacturing facilities around the world. In the U.S., audits are also conducted periodically by the Occupational Safety and Health Administration (OSHA) and the company works with this regulatory body to ensure compliance with all safety standards.

## 4 Community

As a leader in the dental industry, Dentsply Sirona recognizes the responsibility it has as a company in advocating for and investing in efforts that improve oral health worldwide. These efforts start in the local communities surrounding Dentsply Sirona's offices and extend to supporting initiatives and dental missions to provide access to dental care for vulnerable and underserved communities around the world.

Each year, Dentsply Sirona supports charitable events, initiatives and activities locally and that extend to many areas around the globe. Some of these activities focus on directly supporting the communities in which the Company and its employees are located, while others focus on goals inherent to Dentsply Sirona's mission of improving the state of oral healthcare around the world. The Company also supports initia-

tives aimed at improving dental education and access to dental care. Dentsply Sirona knows that there is a connection between good oral health and general health. Therefore, it aims to promote healthy habits among children in order to reduce the chances of oral diseases and health problems later in life. The following examples illustrate some of the many ways that Dentsply Sirona and its employees give back.

### Dentsply Sirona supports local communities

#### Donating intraoral sensors

Each year, Dentsply Sirona donates or lends dozens of its digital intraoral sensors – Schick 33 – along with software and expert assistance to charitable organizations across the United States. One such example is its support for the American Dental Association Foundation's Give Kids a Smile program which has helped more than 5.5 million underserved children access free oral health services. On February 9, 2018, Dentsply Sirona sent a team to help diagnose the more than 60 children who came through the Give Kids a Smile event at Triviss Technical College in Lakeland, Florida alongside students from the school's Dental Assisting program and dentists from the local area. Dentsply Sirona also donated a Schick sensor for an auction event to raise money in support of CDA Cares, the California Dental Association's charitable arm, for the work they do around the State of California.

The company also supports local communities through events hosted by organizations such as Mission of Mercy which provides free dental care to those without the means to afford it. The Seattle King Clinic also hosts an annual volunteer-driven event where economically disadvantaged patients can receive dental, medical, and vision care. Between 2014 and 2018, the clinic event has helped roughly 20,000 patients. Dentsply Sirona is proud to be a part of this event.

#### Supporting the Hispanic Dental Association HDA

The motivation behind the recent Dentsply Sirona collaboration with the Hispanic Dental Association is to pave the way for dental innovation, particularly in disadvantaged communities. The Hispanic Dental Association (HDA) held its Second Annual Global Health and Technology Innovation Forum in 2018 during the Greater New York Dental Meeting. Dentsply Sirona helped to sponsor and organize an innovation contest "Innovation Alley" for dental student social entrepreneurs to pitch their ideas to resolve a patient treatment or product challenge in the dental industry, as well as for dental industry-related humanitarian programs.

#### Dental care for families in Shanghai

Over two days in November, Dentsply Sirona helped support the Shanghai Beautiful Mind Foundation to provide dental treatment for nearly 45 "Caring Heart" children and their families in Shanghai. The Caring Heart program aims to help children suffering from severe illnesses or who have been victims of domestic violence by providing long-term mental and physical care.



Treating a patient during the Caring Heart charity event in Shanghai.



Jean Crawshaw, Executive Territory Manager at Dentsply Sirona and Dr. Greg Chang of Super Chefs celebrating the 10th anniversary of the organization.

## Coats for Kids

In time for winter, the Charlotte office donated to the 2018 Steve's Coats for Kids drive. Employees led by example and made Dentsply Sirona their largest corporate donor of coats.

## Christmas donation helping children with cancer

Dentsply Sirona's Iberia office is helping to raise money for research into cancers affecting children by supporting the Hospital Sant Joan de Deu in Barcelona, Spain. Children from the Garbí d'Esplugues School made "shuriken" - origami stars - to symbolize the fight against cancer. The Iberia office sent its clients a Christmas letter explaining the action to raise money along with a personalized Shurikan from the students.



Children from the Garbí d'Esplugues School in Spain with their personalized Shurikans.

## Healthy Eating with Super Chefs

Dentsply Sirona Canada has donated to Super Chefs since its inception. The organization was founded by Dr. Greg Chang, a customer of Dentsply Sirona, and teaches children the importance of eating nutritiously and having a balanced, active lifestyle. Every year Super Chefs organizes cooking classes and events for children from all backgrounds in its Surrey, British Columbia home community. This year the organization celebrates its 10th anniversary.

## Affordable dental care in Leuven

Together with Wijkgezondheidscentrum De Central vzw in Leuven, Belgium, Dentsply Sirona Implants is working on a project to give back to the community by helping those in need of affordable medical care. De Central already offered a practicing physician in its office and has recently begun offering access to a dentist for low-cost dental care. Dentsply Sirona is supporting the new dental practice in several ways including through an initial survey of the practice to understand its needs and what it lacks and then to provide material and equipment to fill those needs. Additionally, the Company is sharing its expertise in working with patients in need of special care, putting Dentsply Sirona's global experience to work. By organizing direct interaction with De Central, employees also gain valuable experience as to why their work at Dentsply Sirona makes a difference in improving people's health and lives.

## Water Street Health Services

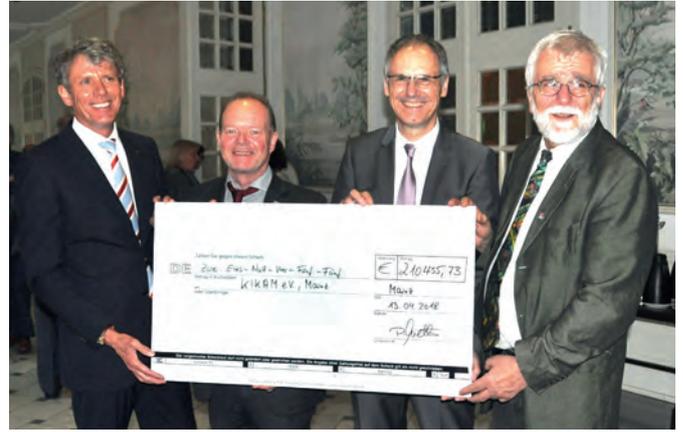
Dentsply Sirona supplies the Water Street Mission in Lancaster, PA with consumables and small equipment for their four operatories on a regular basis and recently donated a treatment center for their health services.

## Dentsply Sirona and the United Way

There are many ways in which the Company and its employees give back by starting locally. One example is the York Headquarter location's continuing support for the United Way of York County. The United Way helps to provide financial stability, educational programs for kids, training programs for skill development, and access to healthcare services for those in need. In early 2018, the United Way of York County recognized Dentsply Sirona for its successful campaign from which employees raised 115,000 USD. More than half of Dentsply Sirona's York campus employees participated in fundraising events.

## Separating precious metals to care for sick children in Germany

For more than 25 years, patients at mainly Rheinhesen-based dentists have donated their precious metal crowns and bridges, which are no longer needed, to the KIKAM e.V. (Community of Interests for Children of the Intensive Care Unit and Pediatric Cardiology Mainz). These donations have raised over 1.8 million



Dentsply Sirona presenting a check from donations of precious metals to the KIKAM e.V.

euros since 1993. The Dentsply Sirona site in Hanau, Germany, has traditionally been responsible for separating the metals free-of-charge. The proceeds from these donations have been used to purchase equipment to treat and improve the quality of life of young patients under the care of the clinic.

# Support for dental missions

## Uganda Brigade

Dentsply Sirona recently supported Dr. Izchak Barzilay, a Canadian Prosthodontist, and his team on a humanitarian mission to Uganda. Working as prosthodontics specialists with the Bridge to Health Medical and Dental Foundation as well as with the Ugandan NGO, Kihefo, the mission delivered critical dental care as well as health and dental education to communities in need. Throughout its two weeks in Uganda, several thousand patients received preventive and denture care.

Dr. Barzilay and his team were able to offer same day denture fabrication and insertion. They fabricated roughly 66 partial and complete dentures during the mission transforming smiles that not only have a physical but also an emotional effect on patients. For 2018, a pilot project was undertaken to place two dental implants in the field in order to help two patients avoid partial edentulism. Thanks to the success of these procedures, there are plans to expand this service on a future mission in the summer of 2019.



Dr. Barzilay, first left, and his team during the 2018 Dental Mission to Uganda featuring the Dentsply Sirona flag.



Children receiving their dental care kits of the Doctors Without Borders mission to the Amazon rainforest.

## Taiwan voluntary dental service in Laos

On March 12, 2018 Dentsply Sirona donated dental supplies for a mission of the Taiwan dental service team to treat patients in Laos for caries as well as to provide scaling and tooth extraction. In all, the team helped 118 patients ranging in age from 3 to 84-years old.

## Treating Cleft Lip through Stichting Sumbing Bibir

Every year Dentsply Sirona Benelux donates to Stichting Sumbing Bibir. The foundation works in support of knowledge transfer and scientific research of congenital defects in Indonesia, India, Tanzania and other developing countries treating cleft lip patients. Through the organization, a team of Dutch specialists volunteering together with specialists, nurses and oral surgeons in training from the University of Indonesia travels to help treat patients suffering from cleft lip.

## Dental care in the Amazon rainforest

In July 2018, Dentsply Sirona partnered with two projects aimed at improving dental health for people in hard-to-access areas of Brazil. The NGO Doctors Without Borders led a team of approximately 120 volunteers from Brazil. The group included doctors, general and specialist dentists, and dental students to areas of the country in which indigenous people of the Amazon rainforest live to deliver dental treatment on site. The group performed more than 3,678 dental treatments and treated 1,096 patients during the 35-day expedition. Dentsply Sirona Endodontics, Restorative, Implants and CAD/CAM products were used. A CEREC SpeedFire supplied with energy from a generator on a boat produced reached 1,200 degrees to make full-zirconia crowns in the middle of the rainforest.

As part of „Project 32“, an initiative that focuses on the importance of all 32 teeth and which is funded by

Dentsply Sirona Endodontics, Prof. Manoel Eduardo de Lima Machado from Sao Paulo University also joined the team in Brazil to provide endodontic treatments. Using a standardized endodontic protocol with a short learning curve, treatment can be carried out in a single session. The technique's high benefit to cost ratio means it can be used in public health care services to make endodontic treatment more accessible. Dentsply Sirona donated equipment including motors, files and root canal filling materials.

Project 32 is also promoting another initiative with Dr. Machado alongside a group of professors, specialists and undergraduate students to treat pain and infection for those most in need. The initiative, called “Christmas without pain,” is being carried out with the support of the Health Department of the City of São João da Barra RJ and in São Paulo with the support of the APCD (Associação Paulista dos Surgeões Dentista) and the Faculdade de Odontologia da APCD (FAOA).



CEREC treatment in the Amazon rainforest.

## 5 Marketplace

Whether implantology, orthodontics, endodontics or digital dentistry: Dentsply Sirona has set new standards in the industry with numerous product launches in 2018 as well. The focus of these was above all on the user-friendliness and simplification of known workflows.

Dentsply Sirona employs the best and brightest scientists, engineers, and clinicians in the global dental industry to ensure that it is providing the highest quality services and products to customers. The broad experience and knowledge of more than 600 research and development employees translates into more than 30 innovative new products created annually. For example, the new surgical guide for the chairside digital implant workflow, CEREC Guide 3, supports both the surgical preparation of the implant site as well as the insertion of all Dentsply Sirona implant systems. Production of the CEREC Guide 3 can be done in the practice easily, quickly, and cost efficiently, based on a digital impression made with the CEREC Omnicam and a 3D X-ray image.

The introduction of Acuris is a major breakthrough in implant dentistry as the solution redefines fixed retention. This groundbreaking innovation truly represents the best of two worlds: a retention that is removable for the dentist but fixed for the patient.

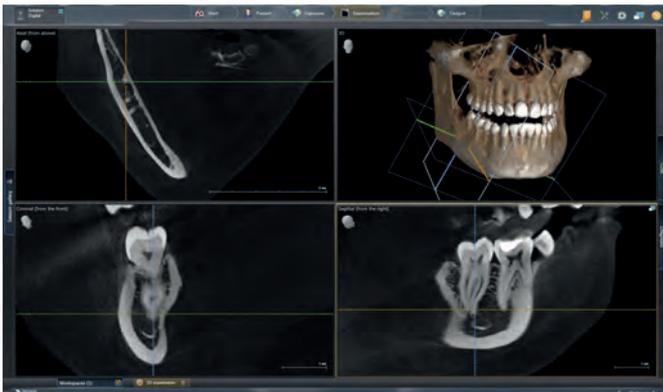
Dentsply Sirona delivers a wide range of X-ray equipment to the dental professionals. The Company has 2018 updated two of its imaging solutions: the software Sidexis 4 and the software for the extraoral flagship, Orthophos SL. These solutions provide a complementary set of functions. The updated features fully meet practical needs such as optimized performance and improved image quality. Significant emphasis is placed on delivering images optimized for the highest diagnostic value at the lowest applied radiation dose.

The most effective treatment can only be achieved if the best diagnostic and planning tools are used. The new SureSmile Aligners from Dentsply Sirona are planned and produced based on a digital impression

and an X-ray image. The newly developed, cloud-based SureSmile Aligner software is used for this, also incorporating a patient photo. This allows the ideal smile to be created as part of the digital treatment plan. Unlike with conventional concepts, dental practitioners keep control of the treatment process at all times. In the SureSmile Aligner software, practitioners can make changes to the plan and thus adapt the systems to the needs of the individual patient.

### Pre-Clinical Testing

Dentsply Sirona develops and licenses numerous medical devices for use in dental care applications. The company strives to develop innovations that represent significant improvements to the status quo. Whenever possible, Dentsply Sirona uses in vitro or benchtop methods that do not require testing on animals. When studies involving animals are absolutely necessary (e.g. when required by law, regulation or standards) to establish safety, Dentsply Sirona uses facilities that follow the relevant national guidelines for the Care and Use of Animals. In the U.S., this follows 21CFR Part 58 and 9CFR Parts 1-3 and global ISO 10993-2 (Animal Welfare Requirements). These guidelines require that all studies be approved by an Institutional Animal Care and Use Committee and the number of animals are limited to the minimum amount possible to demonstrate safety and efficacy prior to human clinical studies. Within these facilities, animal research monitoring is required to ensure that the animals are treated safely and humanely.



Sidexis 4 V 4.3: The updated features fully meet practical needs such as optimized performance and improved image quality.



CEREC Guide 3 for fully guided surgery for inserting Dentsply Sirona implants.



SureSmile Aligner is powered by Elemetrix, a robust clinically proven digital treatment planning platform. Treatment plans are digitally designed with ABO® graded finish.

## Clinical Testing

Prior to commercializing innovative products, Dentsply Sirona often utilizes data from human clinical tests. Dentsply Sirona conducts all studies with human subjects in a manner that protects the safety and rights of patients and investigators. In addition to protecting the rights of individuals, including confidentiality, Dentsply Sirona complies with regulatory requirements of the countries in which studies are conducted and those in which regulatory submissions take place. Dentsply Sirona's practice ensures that controls are in place and followed to protect the integrity of the study results.

## Dental Amalgam

Dental amalgam, which is composed of a mixture of metals such as silver, mercury, copper, and tin, is considered a safe, affordable, and durable material that has been used for over 100 years to restore teeth affected by dental caries (tooth decay). It has a long-established record of safety and effectiveness. While it has been the subject of questions because of its mercury content, dental amalgam has been studied and reviewed extensively and continues to be preferred and used by dental professionals in certain clinical applications. Dentsply Sirona sells numerous restorative products that are alternatives to amalgam.

Many organizations, including the American Dental Association, Federation Dentaire Internationale (World Dental Federation) and the FDA, support the use of dental amalgam. The Company has reviewed and evaluated its dental amalgam product line. This review included assessment of a wide range of factors including the clinical effectiveness of amalgam, published scientific studies regarding material safety, the results of the FDA review of dental amalgam, as well as environmental and legal considerations. Based on an internal assessment and published industry research, Dentsply Sirona concludes that dental amalgam continues to be a useful restorative material whose safety and efficacy have been extensively documented.

Based on this assessment and the needs of customers, Dentsply Sirona expects to continue to responsibly manufacture and sell dental amalgam while focusing research and development investments on advanced alternative dental-restorative materials. For example, Dentsply Sirona's current range of composite materials that enable bulk fill (SDR flow+) and more efficient esthetics (Spectra ST) or the upcoming launch of Surefil One, a self-adhesive composite hybrid with unlimited depth of cure. In agreement with the Minamata Convention, Dentsply Sirona supports the consensus for a phase-down approach, ratified by the Convention and signed by over 100 countries, to reduce the use of dental amalgams. Dentsply Sirona's marketing efforts and educational activities are designed to educate clinicians about the benefits and techniques for using today's state-of-the-art alternative restorative solutions. In addition, Dentsply Sirona continues to promote the use of the American Dental Association's Best Management Practices for Amalgam Waste to customers who choose to use dental amalgam. Finally, Dentsply Sirona supports a variety of industry-wide initiatives to promote prevention of oral health disease, which in turn, reduces the need for restorative procedures.



Acuris is based on a conometric concept that uses friction instead of a screw or cement to secure the crown and the cap to the abutment in the final prosthetic part of the implant treatment

# 6 Environment

## Energy Resources

Dentsply Sirona manufactures products located in facilities around the world. The nature of Dentsply Sirona's manufacturing businesses is such that it is not a significant consumer of natural resources or energy. In fact, Dentsply Sirona's global electricity and natural gas consumption costs in total less than 1% of sales.

Although not large consumers of energy, the company is committed to improving its ability to control its energy consumption and reduce it. As examples, the facility in Ballaigues, Switzerland signed a new electricity contract for 2018 and 2019 for a green power supply that is guaranteed to come from hydraulic sources. The site also has an ongoing project involving sprinkler systems - so far covering approximately 80 percent of the industrial surface which would limit the environmental consequences in case of a fire.

The facility in Bensheim, Germany uses 168 photovoltaic panels (240 m<sup>2</sup>) implemented on the roof delivering 294 kWp. The chillers of the production machines were supplemented with a free cooling system. The lighting of the parking lots and the advertising lighting has been extended with a time and presence control to minimize the light pollution of the environment. The air-handling units were equipped with an optimized time control system.

Since 2011, Dentsply Sirona has worked with Schneider Electric, a partner for all supply side energy issues in the US and EU, to strategically manage procurement of our energy requirements across those geographies. In 2017, Dentsply Sirona expanded the reach of this partnership into Brazil and Japan. This represents over 95% of the Company's energy usage, globally.

Dentsply Sirona tracks baseline energy impact based on metric tons of CO<sub>2</sub> (type 1 and type 2) to gain a view of Dentsply Sirona's global energy consumption and related greenhouse gas emissions. This dashboard provides a view of our cost efficiency and greenhouse gas emission opportunities. Dentsply Sirona have continued to enhance an understanding of the company's Type 3 CO<sub>2</sub> impact in both North America and Europe, with Brazil and the APAC region to follow in the near future.

## Hazardous Waste

Dentsply Sirona's manufacturing facilities do not produce large amounts of hazardous wastes and emissions, based on the type of manufactured materials and the size of our manufacturing footprint. Since 2017 it is expanding to reporting on a global basis, encompassing permits worldwide. Six of the total manufacturing facilities have hazardous waste permits, 18 manufacturing facilities have air emission permits or authorizations, four of the manufacturing facilities are required to have landfill disposal licenses or permits, and Dentsply Sirona owns five water (emission/storm/waste) permits. The company strives to ensure that its manufacturing facilities are in compliance with those licenses and permits. Each manufacturing facility tracks and reports its hazardous waste and emissions on an annual basis.



Our facility in Ballaigues, Switzerland uses 572 photovoltaic panels (930 m<sup>2</sup>) implemented on the roof delivering 151.6 kWp.

## Local Teams Go Green

Employees are motivated to reduce Dentsply Sirona's negative environmental impact by focusing on ways to reduce energy consumption, increase recycling, eliminate waste, and implement other "green" ideas within local facilities. Through the partnership with Schneider Electric, local teams have access to statistical data to support their investment decisions related to the reduction of energy consumption with measurable results in both costs and usage. Several facilities have already begun developing environmental impact reduction strategies.

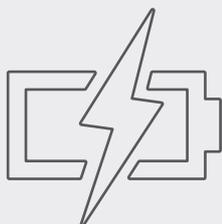
The Bensheim, Germany location has received its ISO 14001 certification and follows the worldwide recognized guideline for health and safety measures (NLF/ILO-OSH 2001). This certification is provided by the International Organization for Standardization and is a systematic and process-driven approach to controlling aspects of our business that have a significant impact on the environment. The facility at Ballaigues is now also certified under ISO 14001. In August of 2018, Swiss authorities approved an environmental commitment from Dentsply Sirona to increase energy efficiency by 10 percent over 10 years.

The NLF/ILO-OSH 2001 guidelines have been developed by the International Labor Organization (ILO) and are a set of safety measures put in place to reduce the hazards and risks in the workplace. Their goal is to provide a safe and risk-free environment for employees to thrive.

In addition, Dentsply Sirona committed to ambitious energy saving targets for 2018 at its Bensheim location by signing an environmental pact with nine other employers in the region. The agreement will lead to electricity savings of approximately 204,000 kWh/year and a reduction of CO<sub>2</sub> emissions by approximately 90 t/year. With the commitments to LEEN (Learning Energy Efficiency Networks) and the EMAS (EU Eco Audit) as well as membership in the Hessian Environmental Alliance, Dentsply Sirona is showing that it takes its responsibility towards the environment seriously. The participation in the LEEN network has been extended beyond the original planned project period by a further 4 years.

# Selective Examples of Past Green Initiatives

## Energy Conservation



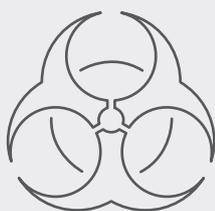
- German-based facility implemented a heat recovery unit
- Many facilities have completed the conversion of their outdoor lighting to LED
- German-based facility converted to intelligent air valves and control to optimize compressed air generation to reduce energy consumption
- A Switzerland-based facility installed Smart Sensors, which send automatic updates on a series of energy consumption indicators to a newly created, dedicated website. The generated data helps make decisions to lower energy consumption
- US-based facility converted to high efficiency systems
- US-based facility installed energy efficient infrared heaters in dock area
- Netherlands-based facility installed solar energy panels
- Swedish-based facility uses renewable resources to provide electrical power
- US-based facility initiated project to use daylight when/where possible to reduce electrical energy
- Brazil-based facility manages a recycle materials program targeted to reduce environmental waste
- Renewable energy sources are being used in Swedish, German, Dutch and Swiss facilities through wind and solar power
- A Switzerland-based facility eliminated its remaining fuel heated facilities by using existing heating sources

## Carbon Emissions



- US-based facility converted its lighting to more efficient LED estimated to save 835 metric tons of CO<sub>2</sub>
- German-based facility signed an environmental agreement in which the participating companies agree to a joint CO<sub>2</sub> savings target of six percent by 2018
- German-based facility installed new gas heater system to reduce consumption
- Brazil-based facility replaced diesel with LBG as energy source, reducing CO and CO<sub>2</sub>
- Swedish and Belgium-based facilities implemented a strategy to improve car fleet efficiency to reduce CO<sub>2</sub> emissions
- Netherlands-based facility replaced gasoline powered cars with electrical cars
- German-based facility reduced natural gas consumption by optimizing production and maintenance scheduling
- Swedish-based facility reduced the use and leakage of Sulfur hexafluoride gas (SF<sub>6</sub>) which resulted in a reduced CO<sub>2</sub> footprint

## Hazardous Waste



- Swedish-based facility is investing to change to a production process with a smaller environmental footprint, including reducing its use of chemicals
- Switzerland-based facility introduced a 5-times recycling cycle for the plastic inserts that are part of the manufacturing process
- Many facilities around the globe reuse or recycle a majority of raw materials and packaging materials to reduce pollution and carbon footprint. In Switzerland, for example, the recycling rate is 79%
- US-based facility implemented CNC grinding, reducing acid electrolyte solution waste
- US-based facility eliminated use of all white bliss boxes by converting to environmentally friendly unbleached box packaging
- US-based facility using green custodial supplies where suitable
- Swedish-based facility improved chemical handling for surface treatment, leading to less waste
- A Switzerland-based facility replaced a chemical product that was toxic to humans and the environment while also optimizing its galvanoplasty process to cut gold cyanide consumption in half

## Water Usage



- Additional facilities installed evapo-concentrators to limit the treatment needs of water volume for toxic wastes
- US and German-based facilities converted to a closed loop water-cooling system, resulting in significant water consumption savings
- Brazil-based facility implemented system for recycling water used for cooling system
- Netherlands-based facility refurbished heat pump to minimize water used for cooling system
- Swedish-based facility reduced water consumption through the installation of a tumbling system
- Belgium-based facility uses less water since installing sensor faucets
- A Switzerland-based facility began using a cooling machine for cool injection presses to minimize water consumption and implemented a control process for checking wastewater pipes to more efficiently repair damaged ones

## 7 Political Spending

Dentsply Sirona does not use corporate funds for political spending or lobbying on political issues, and has not done so for at least the last six years, nor do we have a political action committee. As stated in our Code of Business Conduct and Ethics, the Company's established policy is that no company funds or other company assets may be contributed for political purposes, regardless of whether in the U.S. or outside the U.S., without the prior review by the Company's General Counsel, and approval by the Board of Directors.

In the U.S., Dentsply Sirona is a member of various dental trade associations, such as the Dental Trade Alliance (DTA) which is an association of companies

that provide dental equipment, supplies, materials and services to dentists and other oral care professionals. The DTA's core purpose is to enhance member success and increase dental demand. From time to time, DTA may engage in lobbying regarding legislation that is of interest to its members.

With headquarters in York, Pennsylvania, Dentsply Sirona is also a member of the Pennsylvania Chamber of Business and Industry. The Chamber is the commonwealth's largest broad-based business advocacy organization with a mission to improve Pennsylvania's business climate and increase the competitive advantage for its members.



## 8 Conclusion

Dentsply Sirona delivers innovative product solutions that improve oral health worldwide. Its business is inherently focused on people. The company and its employees embrace the responsibility of delivering the best quality products and services that help dental professionals care for millions of patients every day, improving lives through better oral health and better smiles.

Recognizing its role as a leader within the dental industry, Dentsply Sirona goes beyond the principles of good governance, transparency within the marketplace, and a code of ethics that guides the way in which it operates.

Therefore, it developed a Corporate Social Responsibility Platform commensurate with its global footprint that includes commitments to the development, safety, health and wellbeing of its employees, its local communities and society at large, as well to reducing its environmental impact. The 2018 Corporate Social Responsibility Report provides a selection of examples illustrating how Dentsply Sirona uses its resources to meet these commitments. The company and its employees are proud to support the efforts described and will continue to look for additional opportunities that correspond to their values and the principles outlined in this report.



